

Controlling Persons of (insert Legal Name of Entity) \_\_\_\_\_

**Controlling Person 1**

First Name and Surname: \_\_\_\_\_

Residence Address (including town/city and country) : \_\_\_\_\_

\_\_\_\_\_

Date of Birth: \_\_\_\_\_

Place of Birth (town/city and country): \_\_\_\_\_

**Countries of Tax Residence**

Please provide ALL of your countries of tax residence and associated tax identification number ("TIN" ) for each country.

<i>Countries of Tax Residence</i>	<i>Tax Identification No. (E.g., NI, TIN, PAN, TFN)</i>

If a TIN is not available then please state reason A, B or C next to the country of tax residence above. Please note Reason B requires a further explanation to be given.

**Reason A**—The country where you are tax resident does not issue TINs.

**Reason B**—You have not been able to obtain a TIN. Please provide the reasonable explanation in the table above.

**Reason C**— No TIN is required. This reason should only be given if the authorities of the country of tax residence do not require a TIN to be disclosed.

**Type of Controlling Person**

Type of Entity	Type of Controlling Person	Please tick correct box
Legal Person	Control by Ownership	
	Control by Other Means	
	Senior Manager Official	
Trust	Settlor	
	Trustee	
	Protector	
	Beneficiary	
	Other	
Legal Arrangement that is not a trust	Equivalent of settlor	
	Equivalent of trustee	
	Equivalent of protector	
	Equivalent of beneficiary	
	Equivalent of other	

**Controlling Person 2**

First Name and Surname: \_\_\_\_\_

Residence Address (including town/city and country) : \_\_\_\_\_

\_\_\_\_\_

Date of Birth: \_\_\_\_\_

Place of Birth (town/city and country): \_\_\_\_\_

**Countries of Tax Residence**

Please provide ALL of your countries of tax residence and associated tax identification number ("TIN" ) for each country.

<i>Countries of Tax Residence</i>	<i>Tax Identification No. (E.g., NI, TIN, PAN, TFN)</i>

If a TIN is not available then please state reason A, B or C next to the country of tax residence above. Please note Reason B requires a further explanation to be given.

**Reason A**—The country where you are tax resident does not issue TINs.

**Reason B**—You have not been able to obtain a TIN. Please provide the reasonable explanation in the table above.

**Reason C**— No TIN is required. This reason should only be given if the authorities of the country of tax residence do not require a TIN to be disclosed.

**Type of Controlling Person**

<b>Type of Entity</b>	<b>Type of Controlling Person</b>	<b>Please tick correct box</b>
Legal Person	Control by Ownership	<input type="checkbox"/>
	Control by Other Means	<input type="checkbox"/>
	Senior Manager Official	<input type="checkbox"/>
Trust	Settlor	<input type="checkbox"/>
	Trustee	<input type="checkbox"/>
	Protector	<input type="checkbox"/>
	Beneficiary	<input type="checkbox"/>
	Other	<input type="checkbox"/>
Legal Arrangement that is not a trust	Equivalent of settlor	<input type="checkbox"/>
	Equivalent of trustee	<input type="checkbox"/>
	Equivalent of protector	<input type="checkbox"/>
	Equivalent of beneficiary	<input type="checkbox"/>
	Equivalent of other	<input type="checkbox"/>

**Controlling Person 3**

First Name and Surname: \_\_\_\_\_

Residence Address (including town/city and country) : \_\_\_\_\_

\_\_\_\_\_

Date of Birth: \_\_\_\_\_

Place of Birth (town/city and country): \_\_\_\_\_

**Countries of Tax Residence**

Please provide ALL of your countries of tax residence and associated tax identification number ("TIN" ) for each country.

<i>Countries of Tax Residence</i>	<i>Tax Identification No. (E.g., NI, TIN, PAN, TFN)</i>

If a TIN is not available then please state reason A, B or C next to the country of tax residence above. Please note Reason B requires a further explanation to be given.

**Reason A**—The country where you are tax resident does not issue TINs.

**Reason B**—You have not been able to obtain a TIN. Please provide the reasonable explanation in the table above.

**Reason C**— No TIN is required. This reason should only be given if the authorities of the country of tax residence do not require a TIN to be disclosed.

**Type of Controlling Person**

Type of Entity	Type of Controlling Person	Please tick correct box
Legal Person	Control by Ownership	
	Control by Other Means	
	Senior Manager Official	
Trust	Settlor	
	Trustee	
	Protector	
	Beneficiary	
	Other	
Legal Arrangement that is not a trust	Equivalent of settlor	
	Equivalent of trustee	
	Equivalent of protector	
	Equivalent of beneficiary	
	Equivalent of other	

**Controlling Person 4**

First Name and Surname: \_\_\_\_\_

Residence Address (including town/city and country) : \_\_\_\_\_

\_\_\_\_\_

Date of Birth: \_\_\_\_\_

Place of Birth (town/city and country): \_\_\_\_\_

**Countries of Tax Residence**

Please provide ALL of your countries of tax residence and associated tax identification number ("TIN" ) for each country.

<i>Countries of Tax Residence</i>	<i>Tax Identification No. (E.g., NI, TIN, PAN, TFN)</i>

If a TIN is not available then please state reason A, B or C next to the country of tax residence above. Please note Reason B requires a further explanation to be given.

**Reason A**—The country where you are tax resident does not issue TINs.

**Reason B**—You have not been able to obtain a TIN. Please provide the reasonable explanation in the table above.

**Reason C**— No TIN is required. This reason should only be given if the authorities of the country of tax residence do not require a TIN to be disclosed.

**Type of Controlling Person**

<b>Type of Entity</b>	<b>Type of Controlling Person</b>	<b>Please tick correct box</b>
Legal Person	Control by Ownership	<input type="checkbox"/>
	Control by Other Means	<input type="checkbox"/>
	Senior Manager Official	<input type="checkbox"/>
Trust	Settlor	<input type="checkbox"/>
	Trustee	<input type="checkbox"/>
	Protector	<input type="checkbox"/>
	Beneficiary	<input type="checkbox"/>
	Other	<input type="checkbox"/>
Legal Arrangement that is not a trust	Equivalent of settlor	<input type="checkbox"/>
	Equivalent of trustee	<input type="checkbox"/>
	Equivalent of protector	<input type="checkbox"/>
	Equivalent of beneficiary	<input type="checkbox"/>
	Equivalent of other	<input type="checkbox"/>

## Declaration

I declare that the above information is correct to the best of my knowledge and belief at the time of making this declaration. I understand that in the event of any change in circumstances that would invalidate the above details, I must inform the Bank within thirty (30) days of such change.

Forename(s): \_\_\_\_\_

Surname: \_\_\_\_\_

Designation: \_\_\_\_\_

Date: \_\_\_\_\_

Signature

**Annexure A—Glossary of terms****Controlling Persons**

The term “Controlling Persons” means the natural persons who exercise control over an entity.

For a legal person, this is the natural person(s) who have a controlling ownership interest dependent on the ownership structure of the legal person which is usually identified on the basis of a threshold. Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the entity will be the natural person(s) who exercises control of the entity through other means. Where no natural person(s) is identified as exercising control of the Entity, the Controlling Person(s) of the Entity will be the natural person(s) who holds the position of senior managing official.

In the case of a trust, the controlling persons are the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiaries, and any other natural person(s) exercising ultimate effective control over the trust.

For any other legal arrangement that is not a trust, such term means persons in equivalent or similar positions to the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiaries of a trust, and any other natural person(s) exercising ultimate effective control over a trust.